

Designated Agency Ethics Official
Financial Disclosure Division
Office of the Legal Adviser
U.S. Department of State
Washington, DC 20520

Date: 5 June 2019

Re: Ethics Undertakings

To Designated DOS Ethics Official:

I am committed to the highest standards of ethical conduct for government officials. If re-appointed as a Special Government Employee (SGE), in the position of Public Health Scientist (Expert), to the Biological Policy Staff in the Bureau of International Security and Nonproliferation (ISN/BPS) as required by 18 U.S.C. §208(a), I will not participate personally or professionally in any particular matter that has a direct and predictable effect on my financial interests or those of any person whose interests are imputed to me, unless I first obtain a written waiver, pursuant to section 208(b)(1), or qualify for a regulatory exemption, pursuant to section 208(b)(2). I understand that the interests of the following persons are imputed to me: my spouse, minor children, any general partner of a partnership in which I am a limited or general partner; any organization in which I serve as officer, director, trustee, general partner or employee; and any person or organization with which I am negotiating or have an arrangement concerning prospective employment.

As a Professor at Georgetown University, I will not participate personally and substantially in any particular matters that have a direct and predictable financial effect on Georgetown University, unless I first obtain a written waiver or qualify for a regulatory exception, pursuant to 18 U.S.C. §208(b)(1).

I understand that I cannot receive compensation from any source other than the United States for teaching, speaking or writing that relates to my official duties as a Special Government Employee. I do, though, receive compensation for teaching, speaking and writing on issues not related to my job as an SGE. I will, specifically, not write on matters relative to my official duties, to include publishing such matters, unless permitted under the provisions of 5 C.F.R. §2635.807. I also understand there are rules that require clearance and protection on classified information which applies to all forms of writing (including publishing) and public speaking unless authorized and cleared through official channels. In the event I am requested to participate in any such activities directly related to my official duties, I will contact the Department of State's Ethics Office for guidance and cleared through the Bureau of Public Affairs for review.

Further, I will adhere to the various prohibitions and restrictions place on me as outlined in 18 U.S.C §§ 203 and 205. I understand that I cannot provide any representational services or act as an agent or attorney for another in any particular matter involving specific parties in which I have participated personally and substantially as a government official. I also understand that I may not receive compensation or a share of any payment made for such representational services

performed by another. I understand that additional requirements of 18 U.S.C § 203(c) (1) and 205 (c) (1) will apply to me if I serve for more than 60 days in any period of 365 consecutive days. In that event, I will comply with all applicable requirements, and I will consult with your office if I have any questions about these requirements. I will exercise vigilance to ensure I am in compliance with the Government's rules and policies on conflict of interest and ethics.

Finally, I will recuse myself from participation on a case-by-case basis in any particular matter in which, in my judgment, it is desirable for me to do so in order to avoid the possible appearance of impropriety, despite the lack of any actual conflict.

Sincerely,

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REBECCA L. KATZ