

Mr. David P. Huitema  
Assistant Legal Adviser and  
Alternate Designated Agency Ethics Official  
Office of the Legal Adviser  
U.S. Department of State  
Washington, D.C. 20520

Re: Ethics Undertakings

Dear Mr. Huitema:

The purpose of this letter is to describe the steps that I will take to avoid any actual or apparent conflict of interest during my appointment as Policy Advisor to the United States Mission to the United Nations. It is my responsibility to understand and comply with commitments outlined in this agreement.

#### SECTION 1 – GENERAL COMMITMENTS

I am committed to the highest standards of ethical conduct for government officials. As required by the criminal conflicts of interest law at 18 U.S.C. § 208(a), I will not participate personally and substantially in any particular matter in which I know that I have a financial interest directly and predictably affected by the matter, or in which I know that a person whose interests are imputed to me has a financial interest directly and predictably affected by the particular matter, unless I first obtain a written waiver, pursuant to 18 U.S.C. § 208(b)(1), or qualify for a regulatory exemption, pursuant to 18 U.S.C. § 208(b)(2). I understand that the interests of the following persons are imputed to me:

- Any spouse or minor child of mine;
- Any general partner of a partnership in which I am a limited or general partner;
- Any organization in which I serve as an officer, director, trustee, general partner, or employee; and
- Any person or organization with which I am negotiating or have an arrangement concerning prospective employment.

In addition, I will recuse myself from participation on a case-by-case basis in any particular matter involving specific parties in which I determine that a reasonable person with knowledge of the relevant facts would question my impartiality in that matter, unless I am first authorized to participate, pursuant to the impartiality regulations at 5 C.F.R. Part 2635, Subpart E.

In the event that an actual or potential conflict of interest arises during my appointment, I will consult with an agency ethics official and take the measures necessary to resolve the conflict, such as recusal from the particular matter or divestiture of an asset.

## SECTION 2 –OUTSIDE POSITIONS

I will retain my position as an Adjunct Associated Professor with Columbia University, where I teach a course requiring multiple presentations that is offered as part of the regularly established curriculum of the University. For the period of time that I am employed with Columbia University, I will not participate personally and substantially in any particular matter in which I know the University has a financial interest directly and predictably affected by the matter, unless I first obtain a written waiver, pursuant to 18 U.S.C. § 208(b)(1). In addition, if I resign from this position, for a period of one year after my resignation, I will not participate personally and substantially in any particular matter involving specific parties in which I know Columbia University is a party or represents a party, unless I am first authorized to participate, pursuant to 5 C.F.R. § 2635.502(d). I understand that in the event I am promoted to the Senior Executive Schedule, I will be subject to certain earned income restrictions and will be required to obtain written authority from the Department's Designated Agency Ethics Official in order to continue to teach this or any other course in accordance with 5 C.F.R. 2636.307.

## SECTION 3 – RESIGNATIONS

I have resigned from my positions with the Center for Strategic & International Studies and Porticus Foundation. For a period of one year after my resignation from each of these entities, I will not participate personally and substantially in any particular matter involving specific parties in which I know that entity is a party or represents a party, unless I am first authorized to participate, pursuant to 5 C.F.R. § 2635.502(d).

## SECTION 4 – ADDITIONAL COMMITMENTS

I understand that as an appointee I will be required to sign the Ethics Pledge required by an Executive Order signed by President Biden on January 20, 2021 and that I will

be bound by the requirements and restrictions of that Executive Order in addition to the commitments I have made in this ethics agreement.

Sincerely,

(b)(6)

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Sohini Chatterjee