Executive Branch Personnel

Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Anderson, Robert

Solicitor, Department of the Interior

Report Year: 2022

Other Federal Government Positions Held During the Preceding 12 Months:

Principal Deputy Solicitor, Office of the Solicitor (1/2021 - 10/2021)

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Anderson, Robert [electronically signed on 05/10/2022 by Anderson, Robert in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).
/s/ Gottry, Heather, Certifying Official [electronically signed on 07/08/2022 by Gottry, Heather in Integrity.gov]

Other review conducted by
/s/ Garcia, Monica L, Ethics Official [electronically signed on 05/30/2022 by Garcia, Monica L in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Granahan, Megan, Certifying Official [electronically signed on 07/14/2022 by Granahan, Megan in Integrity.gov]

Data Revised 05/30/2022

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Court of Appeals, Pokagon Band of Potawatomi Indians	Dowagiac, Michigan	Tribe	Chief Justice	1/2003	2/2021
2	PT Fund, Inc. (Presidential Transition Team)	Washington, District of Columbia	Non-Profit	Volunteer	10/2020	1/2021

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Rollover IRA	No			
1.1	Fidelity Capital & Income Fund (FAGIX)	Yes	$1,001 - $15,000		None (or less than $201)
1.2	Strategic AdvisersFidelity US Total Stock Fund (FCTDX)	Yes	$500,001 - $1,000,000		None (or less than $201)
1.3	Fidelity Government Cash Reserves (FDRXX)	Yes	$15,001 - $50,000		None (or less than $201)
1.4	Fidelity SAIEmerging Markets Index Fund (FERGX)	Yes	$15,001 - $50,000		None (or less than $201)
1.5	Strategic Advisers FidelityEmerging Markets Fund (FGOMX)	Yes	$100,001 - $250,000		None (or less than $201)
1.6	Strategic AdvisersFidelity Core Income Fund (FIWGX)	Yes	$500,001 - $1,000,000		None (or less than $201)
1.7	Fidelity Real Estate Investment Portfolio (FRESX)	Yes	$15,001 - $50,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.8	Fidelity Real Estate Income Fund (FRIFX)	Yes	$1,001 - $15,000		None (or less than $201)
1.9	Fidelity Advisor Strategic Income Fund Class I Shares (FSRIX)	Yes	$1,001 - $15,000		None (or less than $201)
1.10	Strategic AdvisersFidelity International Fund (FUSIX)	Yes	$250,001 - $500,000		None (or less than $201)
1.11	Fidelity SAI Low Duration Income Fund (FZOLX)	Yes	$1,001 - $15,000		None (or less than $201)
1.12	Fidelity SAI Short-Term Bond Fund (FZOMX)	Yes	$1,001 - $15,000		None (or less than $201)
1.13	Fidelity SAI Long-Term Treasury Bond Index Fund (FBLTX)	Yes	$15,001 - $50,000		None (or less than $201)
1.14	Fidelity SAI High Income Fund (FSHGX)	Yes	$15,001 - $50,000		None (or less than $201)
2	SEP IRA	No			
2.1	Fidelity Asset Manager 50% (FASMX)	Yes	$15,001 - $50,000		None (or less than $201)
2.2	Fidelity Low-Priced Stock Fund (FLPSX)	Yes	$1,001 - $15,000		None (or less than $201)
2.3	Forward Select EM Dividend Fd I (FSLRX)	Yes	$15,001 - $50,000		None (or less than $201)
2.4	TCW Total Return Bond Fund Class N Shares (TGMNX)	Yes	$1,001 - $15,000		None (or less than $201)
2.5	Zoom Video Communications, Inc. (ZM)	N/A	$1,001 - $15,000		None (or less than $201)
2.6	Fidelity Money Market Account (cash)	N/A	$1,001 - $15,000		None (or less than $201)
3	Harvard University Retirement Income Plan for Teaching Faculty of Harvard University	No			

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.1	Vanguard Institutional Target Retirement 2020 Fund Institutional Class Shares (VITWX)	See Endnote	Yes	None (or less than $1,001)		None (or less than $201)
3.2	Vanguard Institutional Target Retirement 2025 Fund Institutional Class Shares (VRIVX)	See Endnote	Yes	None (or less than $1,001)		None (or less than $201)
4	"American Indian Law: Cases and Commentary," 4th Ed., West (value not readily ascertainable)		N/A		Rent or Royalties	$5,001 - $15,000
5	Harvard University Tax-Deferred Annuity Plan		No			
5.1	Vanguard Institutional Target Retirement 2020 Fund Institutional Class Shares (VITWX)	See Endnote	Yes	None (or less than $1,001)		None (or less than $201)
6	University of Washington Supplemental Retirement Plan (value not readily ascertainable)		N/A		Retirement payments (annual)	$19,200

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY		CITY, STATE	STATUS AND TERMS	DATE
1	Harvard University Retirement Income Plan for Teaching Faculty of Harvard University	See Endnote	Cambridge, Massachusetts	I will continue to participate in this defined contribution plan. The plan sponsor will not make further contributions after my separation.	9/2009
2	University of Washington		Seattle, Washington	UW Supplemental Retirement Plan. I will continue to participate in this defined benefit plan.	1/2001
3	University of Washington		Seattle, Washington	Pursuant to University of Washington policy, I continue to receive free access to certain legal research databases and discounted parking.	1/2001
4	Harvard University Tax-Deferred Annuity Plan	See Endnote	Cambridge, Massachusetts	I will continue to participate in this defined contribution plan. The plan sponsor will not make further contributions after my separation.	9/2009

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	The Pew Charitable Trusts	N/A		Payments as an independent contractor	
2	State of Alaska Defined Benefit Plan (value not readily ascertainable)	N/A		Retirement payments	
3	PERS - State of Alaska Supplemental Annuity Plan, Defined Contribution Plan	No		Cash distributions	$6,650
3.1	Stable Value Fund	Yes	$100,001 - $250,000		None (or less than $201)
3.2	Passive U.S. Bond Index Fund	Yes	$50,001 - $100,000		None (or less than $201)
3.3	Environmental, Social, and Governance Fund	Yes	$50,001 - $100,000		None (or less than $201)
3.4	US Small Cap Trust	Yes	$50,001 - $100,000		None (or less than $201)
3.5	International Equity Fund	Yes	$50,001 - $100,000		None (or less than $201)
3.6	Alaska Balanced Trust	Yes	$15,001 - $50,000		None (or less than $201)
3.7	World Equity Ex-US Index	Yes	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.8	State Street Inst Treasury Money Market Fund	Yes	$1,001 - $15,000		None (or less than $201)
3.9	Russell 3000 Index	Yes	$1,001 - $15,000		None (or less than $201)
4	Roth IRA	No			
4.1	Fidelity Asset Manager 85% (FAMRX)	Yes	$1,001 - $15,000		None (or less than $201)
5	SEP IRA	No			
5.1	Domini Impact Equity Fund Investor Class Shares (DSEFX)	Yes	$15,001 - $50,000		None (or less than $201)
5.2	Fidelity Money Market Account (cash)	N/A	$1,001 - $15,000		None (or less than $201)
6	Rollover IRA	No			
6.1	Fidelity Capital & Income Fund (FAGIX)	Yes	$1,001 - $15,000		None (or less than $201)
6.2	Strategic AdvisersFidelity US Total Stock Fund (FCTDX)	Yes	$250,001 - $500,000		None (or less than $201)
6.3	Fidelity Government Cash Reserves (FDRXX)	Yes	$1,001 - $15,000		None (or less than $201)
6.4	Fidelity SAIEmerging Markets Index Fund (FERGX)	Yes	$1,001 - $15,000		None (or less than $201)
6.5	Strategic Advisers FidelityEmerging Markets Fund (FGOMX)	Yes	$15,001 - $50,000		None (or less than $201)
6.6	Fidelity Advisor High Income Fund Class I Shares (FGTMX)	Yes	$15,001 - $50,000		None (or less than $201)
6.7	Fidelity SAI Inflation-Focused Fund (FIFGX)	Yes	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.8	Strategic AdvisersFidelity Core Income Fund (FIWGX)	Yes	$100,001 - $250,000		None (or less than $201)
6.9	Fidelity Real Estate Investment Portfolio (FRESX)	Yes	$1,001 - $15,000		None (or less than $201)
6.10	Fidelity Real Estate Income Fund (FRIFX)	Yes	$1,001 - $15,000		None (or less than $201)
6.11	Fidelity Advisor Strategic Income Fund Class I Shares (FSRIX)	Yes	$1,001 - $15,000		None (or less than $201)
6.12	Strategic AdvisersFidelity International Fund (FUSIX)	Yes	$100,001 - $250,000		None (or less than $201)
6.13	Fidelity SAI Low Duration Income Fund (FZOLX)	Yes	$1,001 - $15,000		None (or less than $201)
6.14	Fidelity SAI Short-Term Bond Fund (FZOMX)	Yes	$1,001 - $15,000		None (or less than $201)

6. Other Assets and Income

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	NH College Account (529 plan)		No			
1.1	NH College Portfolio (Fidelity Blend)		Yes	$1,001 - $15,000		None (or less than $201)
1.2	NH Bank Deposit (cash)		N/A	$1,001 - $15,000	Interest	None (or less than $201)
2	United States Bank Account #1 (cash)	See Endnote	N/A	$50,001 - $100,000		None (or less than $201)
3	United States Credit Union #1 (cash)		N/A	$100,001 - $250,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4	United States Bank Account #2 (cash)	N/A	$50,001 - $100,000		None (or less than $201)
5	Heiman-Bradford LLC	No			
5.1	Residential Real Estate, Reno, Nevada	N/A	$250,001 - $500,000	Rent or Royalties	$1,001 - $2,500
6	The Bois Forte Band of Chippewa, Minnesota	N/A		Tribal annual per capita payment	$800
7	New York Life Secure Term MVA Fixed Annuity IV	N/A	$250,001 - $500,000		None (or less than $201)

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	Fidelity SAI Inflation-Focused Fund (FIFGX)	Purchase	01/22/2021	$1,001 - $15,000
2	Strategic Advisers FidelityEmerging Markets Fund (FGOMX)	Sale	01/22/2021	$1,001 - $15,000
3	Strategic AdvisersFidelity Core Income Fund (FIWGX)	Purchase	01/22/2021	$15,001 - $50,000
4	Strategic AdvisersFidelity US Total Stock Fund (FCTDX)	Sale	01/22/2021	$15,001 - $50,000
5	Strategic AdvisersFidelity International Fund (FUSIX)	Purchase	01/22/2021	$1,001 - $15,000
6	Fidelity SAI Short Term Bond Fund (FZOMX)	Sale	01/22/2021	$1,001 - $15,000
7	Fidelity SAI Low Duration Income Fund (FZOLX)	Sale	01/22/2021	$1,001 - $15,000
8	Fidelity Advisor High Income Fund Class I Shares (FGTMX)	Purchase	01/22/2021	$1,001 - $15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
9	Strategic AdvisersFidelity Core Income Fund (FIWGX)	Sale	02/04/2021	$1,001 - $15,000
10	Strategic AdvisersFidelity US Total Stock Fund (FCTDX)	Purchase	02/04/2021	$1,001 - $15,000
11	Strategic AdvisersFidelity International Fund (FUSIX)	Purchase	02/04/2021	$1,001 - $15,000
12	Fidelity SAI Low Duration Income Fund (FZOLX)	Sale	02/04/2021	$1,001 - $15,000
13	Fidelity Advisor High Income Fund Class I Shares (FGTMX)	Purchase	02/04/2021	$1,001 - $15,000
14	Fidelity SAI Inflation-Focused Fund (FIFGX)	Purchase	02/25/2021	$1,001 - $15,000
15	Strategic AdvisersFidelity Core Income Fund (FIWGX)	Purchase	02/25/2021	$1,001 - $15,000
16	Strategic AdvisersFidelity International Fund (FUSIX)	Sale	02/25/2021	$1,001 - $15,000
17	Fidelity SAI Short Term Bond Fund (FZOMX)	Purchase	02/25/2021	$1,001 - $15,000
18	Fidelity SAI Low Duration Income Fund (FZOLX)	Purchase	02/25/2021	$1,001 - $15,000
19	Fidelity SAI US Treasury Bond Index Fund (FUTBX)	Purchase	02/25/2021	$1,001 - $15,000
20	Fidelity Advisor Strategic Income Fund Class I Shares (FSRIX)	Purchase	03/31/2021	$1,001 - $15,000
21	Fidelity SAI Inflation-Focused Fund (FIFGX)	Purchase	03/31/2021	$1,001 - $15,000
22	Strategic Advisers FidelityEmerging Markets Fund (FGOMX)	Purchase	03/31/2021	$1,001 - $15,000
23	Strategic AdvisersFidelity Core Income Fund (FIWGX)	Purchase	03/31/2021	$15,001 - $50,000
24	Strategic AdvisersFidelity US Total Stock Fund (FCTDX)	Purchase	03/31/2021	$15,001 - $50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
25	Strategic AdvisersFidelity International Fund (FUSIX)	Purchase	03/31/2021	$1,001 - $15,000
26	Fidelity Advisor High Income Fund Class I Shares (FGTMX)	Purchase	03/31/2021	$1,001 - $15,000
27	Fidelity Real Estate Investment Portfolio (FRESX)	Sale	04/23/2021	$1,001 - $15,000
28	Fidelity SAI Inflation-Focused Fund (FIFGX)	Purchase	04/23/2021	$1,001 - $15,000
29	Strategic Advisers FidelityEmerging Markets Fund (FGOMX)	Purchase	04/23/2021	$1,001 - $15,000
30	Strategic AdvisersFidelity Core Income Fund (FIWGX)	Purchase	04/23/2021	$15,001 - $50,000
31	Strategic AdvisersFidelity US Total Stock Fund (FCTDX)	Sale	04/23/2021	$15,001 - $50,000
32	Strategic AdvisersFidelity International Fund (FUSIX)	Sale	04/23/2021	$1,001 - $15,000
33	Fidelity Advisor High Income Fund Class I Shares (FGTMX)	Purchase	04/23/2021	$1,001 - $15,000
34	Fidelity Advisor Strategic Income Fund Class I Shares (FSRIX)	Sale	05/24/2021	$1,001 - $15,000
35	Fidelity Capital & Income Fund (FAGIX)	Sale	05/24/2021	$1,001 - $15,000
36	Strategic AdvisersFidelity Core Income Fund (FIWGX)	Purchase	05/24/2021	$1,001 - $15,000
37	Strategic AdvisersFidelity US Total Stock Fund (FCTDX)	Sale	05/24/2021	$1,001 - $15,000
38	Strategic AdvisersFidelity International Fund (FUSIX)	Purchase	05/24/2021	$1,001 - $15,000
39	Fidelity SAI US Treasury Bond Index Fund (FUTBX)	Purchase	05/24/2021	$1,001 - $15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
40	Fidelity Advisor Strategic Income Fund Class I Shares (FSRIX)	Sale	06/28/2021	$1,001 - $15,000
41	Strategic AdvisersFidelity Core Income Fund (FIWGX)	Purchase	06/28/2021	$1,001 - $15,000
42	Strategic AdvisersFidelity US Total Stock Fund (FCTDX)	Sale	06/28/2021	$15,001 - $50,000
43	Strategic AdvisersFidelity International Fund (FUSIX)	Purchase	06/28/2021	$15,001 - $50,000
44	Fidelity SAI US Treasury Bond Index Fund (FUTBX)	Sale	06/28/2021	$1,001 - $15,000
45	Fidelity SAI High Income Fund (FSHGX)	Purchase	06/28/2021	$50,001 - $100,000
46	Fidelity Advisor High Income Fund Class I Shares (FGTMX)	Sale	06/28/2021	$50,001 - $100,000
47	Fidelity SAI Inflation-Focused Fund (FIFGX)	Sale	07/29/2021	$1,001 - $15,000
48	Strategic Advisers FidelityEmerging Markets Fund (FGOMX)	Purchase	07/29/2021	$1,001 - $15,000
49	Strategic AdvisersFidelity Core Income Fund (FIWGX)	Purchase	07/29/2021	$1,001 - $15,000
50	Strategic AdvisersFidelity US Total Stock Fund (FCTDX)	Sale	07/29/2021	$15,001 - $50,000
51	Strategic AdvisersFidelity International Fund (FUSIX)	Purchase	07/29/2021	$15,001 - $50,000
52	Fidelity SAI Low Duration Income Fund (FZOLX)	Purchase	07/29/2021	$1,001 - $15,000
53	Fidelity SAI High Income Fund (FSHGX)	Sale	07/29/2021	$1,001 - $15,000
54	Fidelity Real Estate Investment Portfolio (FRESX)	Sale	09/10/2021	$1,001 - $15,000
55	Fidelity SAI Inflation-Focused Fund (FIFGX)	Sale	09/10/2021	$1,001 - $15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
56	Strategic Advisers FidelityEmerging Markets Fund (FGOMX)	Sale	09/10/2021	$1,001 - $15,000
57	Strategic AdvisersFidelity Core Income Fund (FIWGX)	Purchase	09/10/2021	$15,001 - $50,000
58	Strategic AdvisersFidelity US Total Stock Fund (FCTDX)	Sale	09/10/2021	$1,001 - $15,000
59	Strategic AdvisersFidelity International Fund (FUSIX)	Sale	09/10/2021	$1,001 - $15,000
60	Fidelity SAI US Treasury Bond Index Fund (FUTBX)	Purchase	09/10/2021	$1,001 - $15,000
61	Fidelity SAI High Income Fund (FSHGX)	Sale	09/10/2021	$1,001 - $15,000
62	Fidelity Capital & Income Fund (FAGIX)	Sale	10/12/2021	$1,001 - $15,000
63	Fidelity Real Estate Investment Portfolio (FRESX)	Purchase	10/12/2021	$1,001 - $15,000
64	Fidelity SAI Inflation-Focused Fund (FIFGX)	Sale	10/12/2021	$1,001 - $15,000
65	Strategic Advisers FidelityEmerging Markets Fund (FGOMX)	Sale	10/12/2021	$1,001 - $15,000
66	Strategic AdvisersFidelity Core Income Fund (FIWGX)	Purchase	10/12/2021	$1,001 - $15,000
67	Strategic AdvisersFidelity International Fund (FUSIX)	Purchase	10/12/2021	$1,001 - $15,000
68	Fidelity SAI Low Duration Income Fund (FZOLX)	Sale	10/12/2021	$1,001 - $15,000
69	Fidelity SAI US Treasury Bond Index Fund (FUTBX)	Sale	10/12/2021	$1,001 - $15,000
70	Fidelity SAI Long-Term Treasury Bond Index Fund (FBLTX)	Purchase	10/12/2021	$15,001 - $50,000
71	Fidelity SAI High Income Fund (FSHGX)	Sale	10/12/2021	$1,001 - $15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
72	Fidelity Real Estate Investment Portfolio (FRESX)	Sale	11/17/2021	$1,001 - $15,000
73	Strategic Advisers FidelityEmerging Markets Fund (FGOMX)	Purchase	11/17/2021	$1,001 - $15,000
74	Strategic AdvisersFidelity Core Income Fund (FIWGX)	Purchase	11/17/2021	$15,001 - $50,000
75	Strategic AdvisersFidelity US Total Stock Fund (FCTDX)	Sale	11/17/2021	$15,001 - $50,000
76	Strategic AdvisersFidelity International Fund (FUSIX)	Sale	11/17/2021	$1,001 - $15,000
77	Fidelity SAI Short Term Bond Fund (FZOMX)	Purchase	11/17/2021	$1,001 - $15,000
78	Fidelity SAI Low Duration Income Fund (FZOLX)	Purchase	11/17/2021	$1,001 - $15,000
79	Strategic Advisers FidelityEmerging Markets Fund (FGOMX)	Purchase	12/10/2021	$1,001 - $15,000
80	Strategic AdvisersFidelity Core Income Fund (FIWGX)	Sale	12/10/2021	$1,001 - $15,000
81	Strategic AdvisersFidelity US Total Stock Fund (FCTDX)	Purchase	12/10/2021	$1,001 - $15,000
82	Strategic AdvisersFidelity International Fund (FUSIX)	Purchase	12/10/2021	$1,001 - $15,000
83	Fidelity SAI High Income Fund (FSHGX)	Sale	12/10/2021	$1,001 - $15,000
84	Vanguard Institutional Target Retirement 2020 Fund Institutional Class Shares (VITWX)	Sale	03/29/2021	$15,001 - $50,000
85	Vanguard Institutional Target Retirement 2025 Fund Institutional Class Shares (VRIVX)	Sale	03/29/2021	$15,001 - $50,000
86	Vanguard Institutional Target Retirement 2020 Fund Institutional Class Shares (VITWX)	Sale	03/29/2021	$1,001 - $15,000

8. Liabilities

#	CREDITOR NAME		TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Umpqua Bank	See Endnote	Mortgage on Personal Residence	$250,001 - $500,000	2020	3.25%	20 Year

9. Gifts and Travel Reimbursements

None

Endnotes

PART	#	ENDNOTE
2.	3.1	Rolled over into an IRA (Rollover IRA reported on Part 2, Line 1) without an income distribution.
2.	3.2	Rolled over into an IRA (Rollover IRA reported on Part 2, Line 1) without an income distribution.
2.	5.1	Rolled over into an IRA (Rollover IRA reported on Part 2, Line 1) without an income distribution.
3.	1	Participated in this retirement plan during the reporting period, but rolled over the account during the reporting period and do not participate in this plan as of the date of filing.
3.	4	Participated in this retirement plan during the reporting period, but rolled over the account during the reporting period and do not participate in this plan as of the date of filing.
6.	2	Money market account
8.	1	Liability was paid off during the reporting period and is no longer owed as of the date of this filing.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than $200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than $5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than $1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than $200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of $5,000 or less (unless more than $200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of $1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over $10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed $10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than $415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than $415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of $166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).
